

# Results Management: FAQs



What are some of the main differences between the adjudication process for violations under the current system versus the process under HISA's ADMC Program and HIWU's enforcement?

- The process will be uniform across the U.S.
- The process will take less time than most current state processes.
- Decisions will be made by members of the Internal Adjudication Panel (IAP) for Controlled Medication Rule Violations or the independent Arbitral Body for Anti-Doping Rule Violations rather than state courts, state racing commissions, or stewards employed in the state of the alleged violation. Appeals will be handled by administrative law judges and/or the Federal Trade Commission.
- Substances and Methods are categorized as Banned or Controlled under HISA's ADMC Program. The Racing Medication and Testing Consortium currently labels comparable groups as Prohibited (Banned) and Controlled Therapeutics (Controlled).

If a trainer is charged with a violation, can they keep training and/or racing during the adjudication process?

**Alleged Anti-Doping Rule Violation:**

- Provisional Suspensions are usually issued to a Covered Person and/or Covered Horse, in which case, both will be ineligible to train or race until the matter has been resolved and associated penalties have been served. During the Provisional Suspension, the Covered Person is not permitted to be involved in any activity in any capacity involving a Covered Horse at a racetrack or public training facility. The Covered Horse may not participate in races or official timed workouts.
- However, Covered Persons subject to a Provisional Suspension can request a timely Provisional Hearing and decision relating solely to the Provisional Suspension before or after the imposition of a Provisional Suspension. Provisional Hearings will be held via video or phone before a member of the Arbitral Body.

**Alleged Controlled Medication Rule Violation:**

- Unless HIWU determines otherwise, Provisional Suspensions aren't issued unless the alleged violation involves a Controlled Medication/Method for which the Prohibited List specifies a period of ineligibility for the Covered Horse, e.g., the use of intra-articular injections.

What happens to purses, prizes, trophies, etc. if a Covered Horse is disqualified due to a violation of the ADMC Program?

If a Covered Horse is disqualified due to an Anti-Doping or Controlled Medication Rule Violation, all purses, prizes, etc. are forfeited and will be redistributed to other racing participants accordingly. When possible, purses, prizes, etc. for the Covered Horse that is the subject of an alleged violation shall be withheld until a resolution has been reached.



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## How will wagering be affected by Anti-Doping and Controlled Medication Rule Violations? Will payouts change after a disqualification?

The payouts that are published after a race is declared official on race day are the final payouts, regardless of subsequent ADMC Program-related violations and disqualifications.

## Explain how a Covered Horse's condition eligibility is affected by a violation and subsequent disqualification of results.

- The disqualification of a Covered Horse's results in connection with the final resolution of a violation only impacts that horse's conditions for eligibility. If Covered Horse "A" is disqualified after winning a maiden race, it remains a maiden until it has won another race. The connections of Covered Horse "B" that finished second will receive the prize money/awards associated with winning the race, but the horse will not be considered a winner for purposes of its future condition eligibility and can run in another maiden race.

Any adjustment to a disqualified horse's conditions for eligibility will only occur once the violation has been resolved.

## How are arbitration costs handled?

In all cases, Covered Persons are responsible for the costs of their own legal counsel and experts.

### **Anti-Doping Rule Violations**

Arbitration costs will be split among parties. HISA will cover HIWU's share of expenses.

### **Controlled Medication Rule Violations**

Arbitration costs are covered entirely by HISA.

\*Please be advised that the responsibilities and requirements set forth above are contained in the Anti-Doping and Medication Control (ADMC) Program regulations submitted by the Horseracing Integrity and Safety Authority to the Federal Trade Commission (FTC). These regulations were approved by the FTC on March 27, 2023. The information enclosed herein is not exhaustive, and more information can be found by consulting the approved regulations, which were posted to the Federal Register on January 26, 2023.

