

# Results Management:

## Anti-Doping Rule Violations

An **Anti-Doping Rule Violation** can include, but is not limited to, the possession, use or attempted use, trafficking, or presence of a **Banned Substance/Method** in relation to a Covered Horse. Other Anti-Doping Rule Violations include evading sample collection from a Covered Horse or conspiring with others to commit Anti-Doping Rule Violations.

### Processes for presence or use violations associated with Banned Substances:



The results management processes for other Anti-Doping Rule Violations such as trafficking, tampering, or evading sample collection are substantially similar to the aforementioned process.

\*There is a right to have a timely Provisional Hearing via video or phone before a member of the Arbitral Body prior to or after the imposition of a Provisional Suspension.

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## Appeal

Decisions can be reviewed *de novo* (without deference to the Arbitral Body's decision) by an administrative law judge if either party files an appeal within 30 days of the Arbitral Body's decision. An additional *de novo* review of the administrative law judge's decision may be conducted by the Federal Trade Commission (FTC) at its sole discretion.

## Public Disclosure

HIWU will publicly disclose the resolution of a violation within 20 calendar days of a final decision, acceptance of the sanction(s) by the RP/Owner, or the withdrawal of a charge.

RP/Owner is entitled to legal counsel and can agree to a resolution with HIWU at any time up to point of final decision.

\* Please be advised that the responsibilities and requirements set forth above are contained in the regulations submitted by the Horseracing Integrity and Safety Authority to the Federal Trade Commission (FTC) on August 17, 2022. Accordingly, these regulations are subject to change until they have received final approval by the FTC, which will occur before the ADMC Program takes effect.

\*The information enclosed herein is not exhaustive. For more information, please consult the ADMC Program regulations submitted by the Horseracing Integrity and Safety Authority to the Federal Trade Commission.\*

## Terms To Know

**Arbitral Body:** an independent group consisting of a pool of at least five arbitrators (lawyers) selected to adjudicate Anti-Doping Rule Violations under the ADMC Program; this body will be administered by a separate arbitration organization.

**Banned Substance/Method:** substances prohibited from being possessed, administered, or present in a horse at any time.

**Provisional Hearing:** an expedited abbreviated hearing to resolve a challenge to a Provisional Suspension, occurring prior to the adjudication of the violation in issue.

**Provisional Suspension:** a term during which a Covered Horse and/or Covered Person is barred temporarily from participating in any official timed workouts or Covered Horseraces.

